### Animal Care & Use:

- **AAALAC Accreditation**: AAALAC File Number: 00720, initially achieved 11/05/87 (re-accredited 11/28/17, valid for 3 years)
- **OLAW Animal Assurance**: D16-00001 (A3001-01) (effective 6/2/17-5/31/21)
- **USDA Inspection Report Certificate**: 93-R-0007 Latest Inspection Date: 11/28/17

### Audited Financial Statement

- FY Ending 09/30/17 (Audited by Ernst & Young LLP)

### Authorized Official

- Jaclyn Lucas
  - Director, Office of Sponsored Research

### Institutional Address / Contact Info

- Beckman Research Institute of the City of Hope
  - 1500 East Duarte Road
  - Duarte, CA 91010-3000
  - Phone: (626) 218-9168
  - Fax: (626) 301-8843
  - Email: sponsres@coh.org

### CAGE Code

- 069R2 (BRI), 5A296 (COHMC)

### Congressional District

- CA-032

### DUNS Number

- 02-717-6833 (BRI), 80-763-5982 (COHMC)

### Federal Tax Status

- Private, Non-profit Organization, 501(c)3 (Corporation)

### Facilities and Administration (F&A) Rate - INDIRECT COST RATES

<table>
<thead>
<tr>
<th><strong>10/01/16 – 09/30/18 (provisional)</strong></th>
<th>DHHS Agreement dated: 10/5/17 - Cognizant Representative: Helen Fung 415-437-7820</th>
</tr>
</thead>
<tbody>
<tr>
<td>CIRM**</td>
<td>73.0% of MTDC*</td>
</tr>
<tr>
<td>Other Sponsors (State, Non-profit)</td>
<td>Use maximum rate allowed by sponsor up to federal rate.</td>
</tr>
<tr>
<td>Fee-for-Service Agreements</td>
<td>45% of TDC</td>
</tr>
<tr>
<td>Fixed-fee Agreements (non-federal)</td>
<td>35% of TDC</td>
</tr>
<tr>
<td>Industry Sponsored Clinical Trials</td>
<td>30% of TDC</td>
</tr>
</tbody>
</table>

**MTDC (Modified Total Direct Cost) base includes Total Direct Costs minus Capital expenses (equipment with a value of $5,000 or more), Subcontract costs over $25,000, and Patient care costs.

**CIRM - In general, rates above apply, but check specific RFA for details. CIRM MTDC base excludes equipment, tuition and fees, research patient care costs, and the total cost of each service contract, Subcontract, and Consultant agreement in excess of $25,000. Note: Indirect costs are taken on Direct Research Funding Costs, which include project costs and Facilities costs.

**Category A CIRM rate component**: Operation and Maintenance Expense rate: 10.38%, Library Expense rate: 0.81%

**Category B CIRM rate component**: Depreciation rate: 16.69%

### Federal Entity Identification Number (FEIN)

- 95-3432210 (BRI), 95-1683875 (COHMC)

### Federal Fringe Benefit Rates

- **FY 2017**
  - Medical Group (physicians): 27.0%
  - Research Staff **, includes:
    - Professor series: 45.0%
    - Research scientist series: 45.0%
    - Support scientists: 45.0%
  - Full-time staff **: 39.0%
  - Part-time staff: 7.0%
  - Post-doctoral Fellows **: 26.0%
  - Non-benefit eligible employees, includes:
    - Students, J1 and F1 visas: 7.0%

- **FY 2018**
  - Medical Group (physicians): 27.0%
  - Research Staff **, includes:
    - Professor series: 42.7%
    - Research scientist series: 42.7%
    - Support scientists: 42.7%
  - Full-time staff **: 38.5%
  - Part-time staff: 8.0%
  - Post-doctoral Fellows **: 26.0%
  - Non-benefit eligible employees, includes:
    - Students, J1 and F1 visas: 8.0%

**Includes component for leave (holiday, vacation, sick). Always budget based on 12-month salary.

### Human Subjects Assurance Number

- FWA 00000692 (Expires 2/3/2019)

### Institution Profile Code

- 3058203

### Nuclear Regulatory Commission (NRC)

- 0307-70

### Salary Caps

- NIH: $187,000 (January 8, 2017 - Executive Level II)
- CIRM: $246,000 (July 1, 2016 - June 30, 2018)
- Komen: $250,000 (effective 5/9/12 until further notice)
- JDRF: Follows NIH salary cap

### SAM Registration (inc. CCR/ORCA)

- Valid until 07/06/2018

### Year of Incorporation

- Medical Center 1948
- Beckman Research Institute 1979
- City of Hope 1980